



Indonesia National Slum Upgrading Project (P154782)

EAST ASIA AND PACIFIC | Indonesia | Urban, Resilience and Land Global Practice |
IBRD/IDA | Investment Project Financing | FY 2017 | Seq No: 7 | ARCHIVED on 31-Oct-2019 | ISR38772 |

Implementing Agencies: Ministry of Public Works and Housing, Ministry of Finance

Key Dates

Key Project Dates

Bank Approval Date: 12-Jul-2016

Effectiveness Date: 11-Oct-2016

Planned Mid Term Review Date: 15-May-2019

Actual Mid-Term Review Date: 28-Jun-2019

Original Closing Date: 31-Mar-2022

Revised Closing Date: 31-Mar-2022

Project Development Objectives

Project Development Objective (from Project Appraisal Document)

To improve access to urban infrastructure and services in targeted slums in Indonesia.

Has the Project Development Objective been changed since Board Approval of the Project Objective?

No

Components

Name

Institutional and Policy Development:(Cost \$7.00 M)

Integrated Planning Support and Capacity Building for Local Government and Communities:(Cost \$84.00 M)

Urban Infrastructure and Services Investment Support:(Cost \$1578.00 M)

Implementation Support and Technical Assistance:(Cost \$74.00 M)

Contingency for Disaster Response

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	<input type="checkbox"/> Moderately Satisfactory	<input type="checkbox"/> Moderately Satisfactory
Overall Implementation Progress (IP)	<input type="checkbox"/> Moderately Satisfactory	<input type="checkbox"/> Moderately Satisfactory
Overall Risk Rating	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate

Implementation Status and Key Decisions

PDO Justification.



Progress towards achieving the PDO remains Moderately Satisfactory. Four out of twelve outcome targets and seven out of ten intermediate result targets set for December 31, 2018 have been met. The four outcome indicators with achieved targets are: number of people provided with improved access to all-season roads within a 500 m range, regular solid waste collection, complaints resolved, and establishment of functional task forces for slum alleviation at the local government level. The other eight outcome indicators are behind schedule, but have come within the range of 70% - 80% of the target. The seven intermediate results indicators with achieved targets are: establishment of a functional task force for slum alleviation at the national level, establishment of a slum inventory database, kelurahans which have CSPs (community settlement plans) consolidated with SIAPs (slum improvement action plans), infrastructure is of good quality, and completed independent audits of kelurahans. The other three indicators were behind target, which include number of kelurahans that have completed tertiary infrastructure, functionality of the infrastructure, and number of cities that have completed primary and secondary infrastructure. One intermediate result indicator, the number of cities which have completed 80% of primary and secondary infrastructure works and services connected to slums, is significantly behind at 10% of the target. The reason for this delay is due to prolonged preparation of the detailed design including the process of securing the land.

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	☐Moderate	☐Moderate	☐Moderate
Macroeconomic	☐Moderate	☐Moderate	☐Moderate
Sector Strategies and Policies	☐Low	☐Low	☐Low
Technical Design of Project or Program	☐Moderate	☐Moderate	☐Moderate
Institutional Capacity for Implementation and Sustainability	☐Substantial	☐Substantial	☐Substantial
Fiduciary	☐Moderate	☐Moderate	☐Moderate
Environment and Social	☐Moderate	☐Moderate	☐Moderate
Stakeholders	☐Moderate	☐Moderate	☐Moderate
Other	--	--	--
Overall	☐Moderate	☐Moderate	☐Moderate

Results

PDO Indicators by Objectives / Outcomes

To improve access to urban infrastructure and services in targeted slums in Indonesia.				
☐People provided with improved infrastructure under the project (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	1,572,564.00	2,552,225.00	9,500,000.00



Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	<p>By the time the MTR was carried out using data as of April 30, 2019, the target has been achieved by 88% of the mid-term target of 2,900,000 people provided with infrastructure and services.</p> <p>For water supply and sanitation improvement, the result has reached 85% and 80%, respectively. For all-season road and solid waste collection, the results have reached the above mid-term target by 160% and 150%, respectively. For drainage, the results achieved is by 82%. Decrease in the number of people received improvement of sanitation (compared to previous ISR) was resulted from validation process of the overall MIS data carried out during the mid-term review.</p>			
<p>People provided with "improved water sources" under the project (of which women) (Number, Custom Breakdown)</p>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	136,791.00	204,346.00	800,000.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
<p>People provided with "improved sanitation" under the project (of which women) (Number, Custom Breakdown)</p>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	645,495.00	287,787.00	1,200,000.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
<p>People provided with access to all-season roads within 500 meter range under the project (of which women) (Number, Custom Breakdown)</p>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	390,415.00	1,771,850.00	3,700,000.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
<p>People provided with regular solid waste collection under the project (of which women) (Number, Custom Breakdown)</p>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	186,588.00	677,464.00	1,500,000.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
<p>People provided with "improved drainage" under the project (of which women) (Number, Custom Breakdown)</p>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	398,457.00	885,954.00	3,600,000.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
<p>Slum Area Alleviated (Hectare(Ha), Custom)</p>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	4,453.00	2,192.00	7,800.00



Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	<p>The project measured two versions of figure representing reduction of slum areas. The first version is 2,192 Ha (measured by indicators set in the PAD), whereas the second figure is 5,970 Ha (measured through different methods set by the Government through Ministry of Public Works and Housing's regulation No 2/2016.</p> <p>Based on criteria defined in page 20 of PAD (1) Roads which at least 1.5 meters wide and have proper drainage, (2) at least 60 liters per person per day of clean drinking water, (3) at least one public toilet that fulfills technical standards to serve no more than five HH, (4) solid waste removal at least twice a week, and (5) flood-proof drainage. An area is defined as non slum if level of service of each of these 5 criteria is minimum 50%. However, it is also noted that the government recorded and achievement of 5,970 ha by using calculation based on the the MPWH's minister Regulation No.2/2016</p>			
Percentage of slum dwellers who are satisfied with the quality of urban infrastructure and services (of which women, of which bottom 40%, of which poor) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	80.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	<p>The result will be produced through a household survey scheduled to be carried out in Q2 2019 by the Government. However, the procurement of survey firm has been delayed and still incomplete until after MTR.</p>			
Percentage of complaints resolved (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	99.00	99.40	90.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	<p>The MIS has recorded 19,901 complaints, and 99.4% of all complaints received was information seeking and suggestions for program improvement</p>			
Establishment of functional task forces for slum alleviation at local level (%Local Governments) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	90.00	64.00	90.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	<p>the MIS updates this information on annual basis, and the data has been dynamics depends on the progress review to the functionality of the task forces. In every year, there is always new task force established or renewed.</p>			
Direct project beneficiaries (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	1,246,888.00	2,552,225.00	9,700,000.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021



Comments:	The target to be achieved by December 2018 is 3,000,000 people. By the mid-term review (data as of April 30, 2019), total beneficiaries was recorded at 2,552,225 people or 85%.			
▫Female beneficiaries (Percentage, Custom Supplement)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	51.10	51.10	4,850,000.00

Overall Comments

The target to be achieved by December 2018 is 3,000,000 people. The MIS has recorded as of February 25th 2019 that 2,552,225 people have benefited from investment of tertiary infrastructure and services from 1,104 kelurahans

Intermediate Results Indicators by Components

Institutional and Policy Development				
▫Indicator 1.1. Establishment of functional task force for slum alleviation at national level (Yes/No, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	Yes	Yes	Yes
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	Steering Committee of the National Task Force was assigned in January 2017, to lead the program. The operational level of Task Force (Echelon II) has been in place since February 2018, and has led the day-to-day operation of the Central Collaboration Management Unit (CCMU).			
▫Indicator 1.2. Establishment of slum inventory database/profiling (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	updated	updated	Done & updated
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	Slum profiles for all participating urban wards have been published in the project website since 2017, and the updated data has been published since July 2018. The next updating of the database has been available by April 2019			

Integrated Planning Support and Capacity Building for Local Government and Communities				
▫Percentage of local governments that have completed Slum Improvement Action Plans (SIAPs) which have been approved by Bupati/Walikota (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target



Value	0.00	57.00	68.00	90.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	Based on the combination of MIS data and further data verification to the National Management Consultant (NMC), it is recorded that about 68% have been legalized by the Mayors/Regents and other cities are still in the process of getting legalization, following the formal consultations with the Mayor/Regents. This is exceeded the Mid-term target of 60%			
Indicator 2.2. Percentage of kelurahans which have Community Settlement Plans (CSPs) that have been consolidated with SIAPs (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	93.00	95.00	90.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	The result has exceeded the mid-term target of 70%.			

Urban Infrastructure and Services Investment Support

Indicator 3.1. Number of cities which have completed 80% of primary and secondary infrastructure works and services connected to slum areas (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	2.00	2.00	40.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	The number of cities to undergoing primary/secondary infrastructure works by December 2018 was set for 20 cities. By April 30, 2019, only two cities have completed 80% of primary/secondary infrastructure works. 18 cities are currently undergoing procurement process for primary/secondary infrastructure this year, and infrastructure is expected to begin shortly. Another 18 cities are preparing the DEDs and the procurement process is expected to begin later in 2019.			

Indicator 3.2. Number of kelurahans that have completed 90% of tertiary infrastructure and services implemented in slum areas (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	1,104.00	1,138.00	2,500.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	The target to be achieved by MTR is 1,600 kelurahans. As of 25th of February 2019, construction is 90% completed in 1,104 kelurahans, or 69% of the Dec 2018 target.			

Indicator 3.3. Percentage of good quality of infrastructure and services (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	98.00	97.00	90.00



Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	Measurement of good quality of infrastructure is based on standard set by the Ministry of Public Works and Housing (MPWH).			
Indicator 3.4. Percentage of infrastructure built that is fully functioning (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	84.00	0.00	70.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	this indicator only set the end target measured by the time of project closing in 2022. the figure recorded in the previous ISR was not yet properly measured, and the measurement of functionality will start by end of 2019.			

Implementation Support and Technical Assistance

Indicator 4.1. Percentage of local governments that have project monitoring structure and provide regular information on project implementation (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	80.40	35.00	70.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	The target to be achieved by MTR is set for 30%, and achievement made by April 30, 2019 was 35% of local government task force established has conducting regular project monitoring by utilizing among others the project MIS. Please note that the figure mentioned in the previous ISR was corrected to 0%, as the measurement of this indicators has just started in the early 2019.			
Indicator 4.2. Percentage of kelurahans with completed annual financial audits (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	99.00	97.00	90.00
Date	01-Apr-2016	25-Feb-2019	30-Apr-2019	31-Dec-2021
Comments:	All kelurahans have completed the annual financial audit of the last fiscal year (2017). The figures above for annual financial audit of fiscal year 2017 and 2018. There are 154 kelurahans remaining that have not completed financial audit fiscal year 2018.			

Data on Financial Performance

Disbursements (by loan)

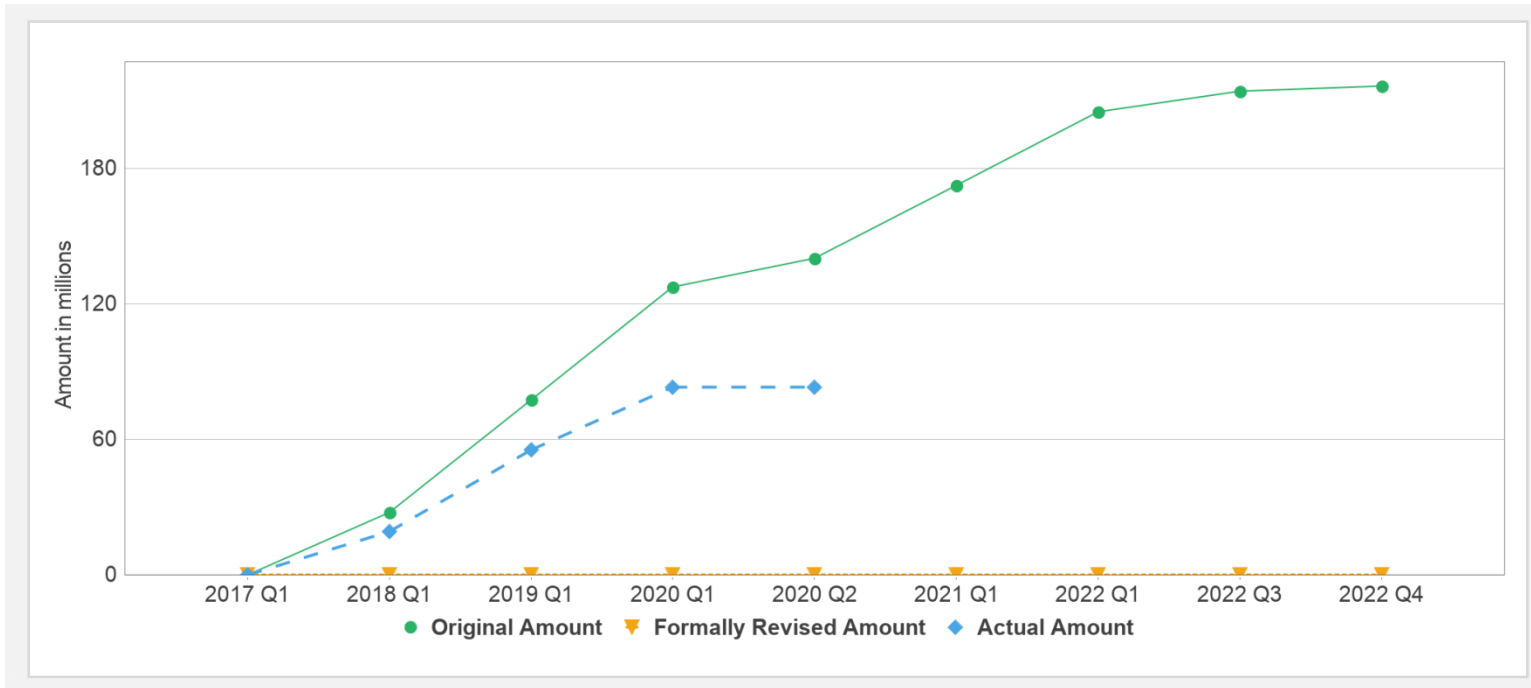


Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P154782	IBRD-86360	Effective	USD	216.50	216.50	0.00	82.85	133.65	38%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P154782	IBRD-86360	Effective	12-Jul-2016	22-Aug-2016	11-Oct-2016	31-Mar-2022	31-Mar-2022

Cumulative Disbursements



Restructuring History

There has been no restructuring to date.

Related Project(s)

There are no related projects.